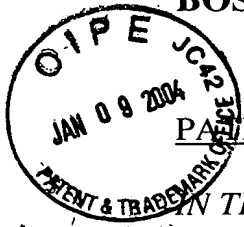


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CUSTOMER NUMBER 25267

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group: Unknown

Atty. Docket: 13547-0007

Applicants: James D. Wells, Jr.

Invention: BALLISTIC PROTECTION
APPARATUS

Serial No.: 10/625,417

Filed: July 23, 2003

Examiner: Unknown

Certificate Under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

on January 6, 2004

Signature:

D. Cwiklinski

Dated:

January 6, 2004

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant calls attention to the art references within the knowledge of applicant and its attorney which are listed on the attached PTO Form 1449. No representation is intended that a complete search has been made or that no better art references are available. The filing of this statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to the patentability as defined in 37 CFR 1.56(b). Copies of the patents or publications cited are enclosed.

REMARKS

None of the art cited on the attached PTO Form 1449 is believed to disclose or suggest the invention recited in the claims of the above-identified application. It is therefore believed that the claimed invention is patentably distinguishable over these references.

TIME OF FILING

The information disclosure statement is being filed:

1. ☒ within three months of the filing date of the application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued prosecution under 37 CFR 1.114. In accordance with 37 CFR 1.97(b), no certification or fee is required.
2. ☐ after the time period specified in paragraph 1 above, but before the mailing date of either a final action under 37 CFR 1.113 or notice of allowance under 37 CFR 1.311. Therefore, in accordance with 37 CFR 1.97(c), submitted herewith is:
(check either A or B below)
 - A. ☐ a statement as specified in 37 CFR 1.97(e).
 - B. ☐ the fee of \$180.00 as set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under 37 CFR 1.97(c).
3. ☐ after the time period specified in paragraph 2 above, but on or before payment of the issue fee. Therefore, Applicant submits herewith:
 - A. a statement as specified in 37 CFR 1.97(e);
 - B. the petition fee of \$130.00 as set forth in 37 CFR 1.17(i); and
 - C. the accompanying petition under 37 CFR 1.97(e).

STATEMENT

(Only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below state

(Check appropriate paragraph)

☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR 1.97(e)(1)

OR

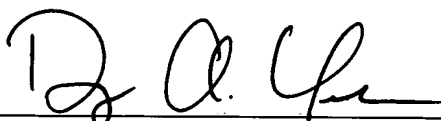
☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR 1.97(e)(2).

METHOD OF PAYMENT

- ☒ No fee is required.
- ☐ Attached is a check.
- ☒ The Commissioner is authorized to charge any fee deficiency required by this paper or credit any overpayment to Bose McKinney & Evans LLP's Deposit Account No. 02-3223. A duplicate copy of this communication is enclosed.

Respectfully submitted,

BOSE McKINNEY & EVANS LLP



Douglas A. Yerkson
Registration No.: 39,882

(317) 684-5000

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| FORM PTO/SB-1449 | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 13547-0007 | | SERIAL NO. 10/625,417 | | |
| INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | | APPLICANT James D. Wells, Jr. | | | | |
| | | | | FILING DATE 07/23/2003 | | GROUP Unknown | | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPLICABLE | |
| | AA | | | | | | | |
| | AB | | | | | | | |
| | AC | | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
| | | | | | | | YES | NO |
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |
| | AP | | | | | | | |
| | AQ | | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | |
| | AR | Military Buckles, www.pursefactory.com/buckles/military-buckles/military-buckles-2-10.jpg | | | | | | |
| | | web page (1 sheet), 10/24/2003 | | | | | | |
| | AS | www.strapworks.com/militarybuckle.jpg web page (1 sheet), 10/24/03 | | | | | | |
| | | | | | | | | |
| | AT | Second Chance Body Armor brochure, 1999; front cover, pages 1-7, 20, 27, 32 and back cover. | | | | | | |
| | | | | | | | | |
| EXAMINER | | | | | DATE CONSIDERED | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | |